# Missouri Department of Transportation



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Pete K. Rahn, Director

CCO Form:

**GS14** 

Approved:

02/06 (AR)

Revised:

01/09 (BDG)

Modified:

### REQUEST FOR PROPOSALS

### MANAGED CARE AND PPO NETWORK FOR WORKERS COMPENSATION SERVICES

### RFP 6-090519

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MoDOT	Missouri Department of Transportation	
RFP	Request for Proposals	

### INTRODUCTION

This Request For Proposals (RFP) seeks proposals from qualified organizations (Offeror) to furnish the described services to the Missouri Highways and Transportation Commission (MHTC). One (1) original and five (5) copies of each proposal must be mailed in a sealed envelope to Ms. Leann Kottwitz, Missouri Department of Transportation, Post Office Box 270, Jefferson City, Missouri 65102, or hand-delivered in a sealed envelope to the General Services Procurement Office at 830 MoDOT Drive, Jefferson City, Missouri 65109. Proposals must be returned to the offices of General Services Procurement no later than 2:00 p.m., May 19, 2009.

Accompanying documentation as requested by this RFP, should not exceed fifty (50) 8 ½" x 11" pages in length.

MHTC reserves the right to reject any and all bids for any reason whatsoever. Time is of the essence for responding to the RFP within the submission deadlines.

### **PROPOSAL**

- (1) The Offeror shall provide a fee proposal to MHTC on the **PRICE PAGE** in accordance with the terms of this RFP.
- (2) The Offeror agrees to provide the services at the fees quoted, under the terms of this RFP.

Authorized Signature of Offer	or:		
Date of Proposal:			- <u> </u>
Printed or Typed Name:			
Mailing Address:			
City:	State:	Zip:	
Telephone:	Fax:		
Electronic Mail Address:			

### SECTION (1): GENERAL DESCRIPTION AND BACKGROUND

(A) Request for Proposal: This document constitutes a RFP from qualified organizations to provide a preferred provider network (PPO) of medical providers for treatment of work related injuries and/or care management for the Missouri Highway and Transportation Commission Self-Insurance Plan, which covers employees of the Missouri Department of Transportation, hereinafter called "MoDOT", executive director and the employees of the Missouri Department of Transportation and Highway Patrol Employees' Retirement System and the Missouri State Highway Patrol. The managed care organization will hereinafter be referred to as "offeror". MHTC RESERVES THE RIGHT TO CONTRACT SEPARTELY FOR A PPO NETWORK AND CASE MANAGEMENT.

### (B) Background:

### History and Authority of Missouri Highways and Transportation Commission

The Commission was established in 1921 by the legislature as the successor to the old State Highway Board. The Commission was given the responsibility and authority to construct the designated state highway system. January 1, 1980, the Department of Highways was merged with the Department of Transportation by constitutional amendment and the Highway Commission became the Highways and Transportation Commission and assumed all responsibility for approximately 32,000 miles of state highways. These include the highways generally referred to as the interstate system, the federal marked routes, state routes and the supplementary routes, commonly referred to as farm-to-market roads. A major component of the Commission's operations involve the construction and maintenance of the state highway system. All funds available for the construction and maintenance of the highway system come from highway user taxes.

The Commission has over 6,000 employees engaged in the various areas related to the construction and maintenance of the state highway system.

### History and Authority of Missouri State Highway Patrol

The Missouri State Highway Patrol was created in 1931 by an act of the 56th Missouri General Assembly, during the tenure of Governor Henry S. Caulfield. The authorized strength of the Patrol was established at 125 officers and patrolmen. The primary responsibility of the Missouri State Highway Patrol is to enforce the traffic laws of Missouri and to promote safety on its approximately 32,000 miles of state-maintained highways. The State is divided into nine troops, geographically, and each troop is commanded by a Captain. Each troop is divided into zones, which are each under the supervision of a sergeant. The department now has force of 1,100 uniformed officers and 1,100 support personnel. On July 1, 1974, the Missouri State Highway Patrol became an agency under the Department of Public Safety. This was done in accordance with the Omnibus State Reorganization Act of 1974.

The Highway Patrol, while primarily created to enforce traffic laws, is a full service police agency. This agency investigates crimes any place in the State as well as provides full laboratory service for criminal analysis. It also is the repository for all criminal arrest records and traffic records, as well as maintaining the Missouri Uniform Law Enforcement Communications System, which includes a teleprocessing terminal in city, county, state and federal agencies in Missouri. Additional responsibilities include administration of the Drivers Licensing, Motor Vehicle Inspection, and Commercial Vehicle Enforcement programs.

### **Workers' Compensation Insurance History**

Prior to January 1, 1987, the Commission purchased commercial workers' compensation insurance to cover employees' work related injuries.

Section 226.160 RSMo. authorizes the Commission to establish a self-insurance plan pursuant to the provisions of Chapter 287 RSMo. for workers' compensation for employees of the Commission and Missouri State Highway Patrol. Among other things, the statute provides for the Commission to annually determine the amount of contribution required to pay claims for workers' compensation losses and authorize the Commission to contract for excess insurance coverage as deemed appropriate.

By the authority of Section 226.160 RSMo. the Commission's workers' compensation self-insurance plan was initiated January 1, 1987. Responsibility for the self-insurance plan is vested with the Office of Risk & Benefits Management and the Commission. The Workers' Compensation Claims Administration was brought in-house as of January 1, 1995.

- (C) **Fiscal Year**: The fiscal year runs from July 1-June 30.
- (D) Contract Period: The successful offeror shall start July 1, 2009 through June 30, 2010.
- (E) Renewals/Extensions: The contract shall not bind, not purport to bind, MHTC for any contractual commitment in excess of the original contract period. The MHTC shall have the right, at its sole option, to extend the contract for two (2) additional one-year periods, or a portion thereof. In the event MHTC exercises its options, all terms, conditions, and provisions of the original contract shall remain the same and apply during the extension period. If the options are exercised, the Offeror shall agree the prices stated in the original contract shall not be increased in excess of the renewal periods' pricing, if any, stated on the pricing page of the contract. If the pricing page does not include such renewal prices or if applicable spaces are left blank, are not completed, prices during extension periods shall be the same as during the original contract period. MHTC does not automatically exercise its options based upon the maximum renewal price of increase without documented

justification supporting an increase and reserves the right to offer or to request an extension of the contract at a price less than that price derived from the Offeror's renewal amounts.

- (F) Clarification of Requirements: Any and all questions regarding specifications, requirements, competitive procurement process, or other questions must be directed to Leann Kottwitz, Missouri Department of Transportation, P. O. Box 270, Jefferson City, Missouri, 65102, (573) 751-3685 (phone) or <a href="Leann.Kotwitz@modot.mo.gov">Leann.Kotwitz@modot.mo.gov</a> (Email.) All written questions must be addressed to Leann Kottwitz no later than 10:00 a.m., Local Time, April 28, 2009. Once all the questions are gathered, MoDOT will issue an addendum and post the responses to all questions on-line for vendors to retrieve. Responses to the questions will be posted on MoDOT's website at:

  <a href="http://www.modot.mo.gov/business/contractor\_resources/gs\_bidding/Cocommodities.htm">http://www.modot.mo.gov/business/contractor\_resources/gs\_bidding/Cocommodities.htm</a> in the form of a written addendum. It is anticipated this addendum will be issued on May 5, 2009. It is the sole responsibility for all Offerors to check the website for any and all addendums throughout the procurement process.
- (G) RFP Schedule Of Events: The following RFP Schedule of Events represents MoDOT's best estimate of the schedule that shall be followed. Unless otherwise specified, the time of day for the following events shall be between 7:00 am and 3:30 pm, Local Time.

MoDOT reserves the right at its sole discretion to expand this schedule, as it deems necessary, without any notification except for the deadline date for submitting a bid.

Event	Date	Time
MoDOT Issues RFP	April 15, 2009	10:00 am
Deadline for Written Comments	April 28, 2009	10:00 am
Deadline for MoDOT Issuing Responses to Written Comments	May 5, 2009	2:00 pm
Deadline for Submitting a Proposal	May 19, 2009	2:00 pm
Recommendation of Award	May 28, 2009	2:00 pm
Notification to Non-Awarded Vendors	June 17, 2009	2:00 pm
Contract Effective Date	July 1, 2009	8:00 am

### SECTION (2): SCOPE OF WORK

- (1) Services: The Offeror shall provide the following professional services:
  Provide a preferred provider network (PPO) of medical providers for treatment of work related injuries and/or care management for the Missouri Highway and Transportation Commission Self-Insurance Plan, which covers employees of the Missouri Department of Transportation, executive director and the employees of the Missouri Department of Transportation and Highway Patrol Employees' Retirement System, and the Missouri State Highway Patrol.
- (2) Specific Requirements: The Offeror shall provide a Preferred Provider Organization (PPO) network of hospitals and physicians providing workers' compensation medical evaluations, disability determination, to include disability rating examinations, and management, and work related injury/illness treatment to Missouri Department of Transportation and Missouri State Highway Patrol employees, executive director and the employees of the Missouri Department of Transportation and Highway Patrol Employees' Retirement System, referred to herein as MHTC. MHTC RESERVES THE RIGHT TO CONTRACT SEPARATELY FOR A PPO NETWORK AND CASE MANAGEMENT.

The Offeror shall coordinate its services with the MHTC's Office of Risk & Benefits Management.

The Offeror must cooperate in periodic and/or random audits which may be required and authorized by MHTC, the State Auditor's Office, or any other party authorized by MHTC. The Offeror will be given reasonable notification (at least one week before the audit). The Offeror shall participate in audits at no additional charge to MHTC.

The Offeror shall agree and understand that MHTC intends to assign treatment of injured employees to providers which are members of the Offeror's PPO network whenever such assignment is in the best interest of the State of Missouri and the injured employee. However, the Offeror shall agree and understand that MHTC shall make the final decision regarding the choice of medical providers, and that such decisions shall be final and without recourse.

The Offeror shall assist MHTC in informing the PPO providers of the policies, procedures and documentation requirements of MHTC.

The Offeror must monitor the quality of care provided throughout the PPO network and must take necessary steps when inappropriate care is identified.

The Offeror shall follow established guidelines and procedures and use customized

forms, as developed by Offeror and/or MHTC. Such guidelines and procedures shall include, but not be limited to:

- Specified hours of availability for treatment.
- Same day treatment for workers' compensation, injuries/illnesses, when necessary.
- Uniform reporting of injuries/illnesses, including, but not limited to, Surgeon Report and typed progress notes, submitted to MHTC, in a timely manner.
- Authorization for treatment of all injuries from initial treatment to specialist referrals.
- Any other form of communication as deemed beneficial by MHTC/Offeror.

Providers should be either board certified/board eligible or have at least three years of experience in treatment of workers' compensation injuries/illnesses.

Providers should provide an approach to evaluate the direction and maintain the care of treatment, including referrals to specialists.

Physicians shall coordinate care for initial treatment through determination of Maximum Medical Improvement (MMI) and/or return to work.

The Offeror shall not use or disclose, at any time during or after the termination of this contract any information discovered or developed pursuant to the post award agreement without the express written consent of MHTC. Any and all reports related to this contract shall be submitted to MHTC through the Director of Risk & Benefits Management.

Except to the extent necessary or appropriate for Offeror to provide the services contemplated by the contract, to the extent allowed by applicable state or federal laws, or to the extent necessary to comply with applicable laws or compulsory legal process or defend against any claim or right, Offeror shall not use or disclose, at any time during or after the termination of this contract any information discovered or developed pursuant to the post award agreement, without the express written consent of MHTC. Any and all reports related to this contract shall be submitted to MHTC through the Director of Risk & Benefits Management. MHTC acknowledges and agrees to retain in confidence, and to not disclose to third parties, any and all confidential and proprietary information of the Offeror that MHTC receives in connection with this contract, except to the extent necessary or appropriate for MHTC to pay claims for services provided in connection with this contract, to the extent allowed by applicable state or federal laws, or to the extent necessary to comply with applicable laws or compulsory legal process or defend

against or assert any claim or right.

MHTC shall pay or arrange for payment of each valid claim received from Offeror within the thirty (30) day period following the later of (i) the date on which the claim is received, or (ii) the date on which all payment issues relating to the claim are resolved. MHTC acknowledges and agrees that if a claim is not timely paid within the aforesaid period, the PPO provider will have the right to collect its, his or her billed charges for the services to which the claim pertains.

### (A) Service Requirements:

- 1. The Offeror must provide an integrated PPO network of hospitals and physicians with facilities and serve at a minimum, within MHTC's districts and State Highway Patrol troops.
- 2. The Offeror shall furnish to Risk & Benefits Management (RB), a directory of providers in the network including physicians, rehabilitative services, and hospitals, identified by name, geographic area and specialty.
- 3. Upon request, the Offer shall furnish to RB a copy of the PPO network contract in force between the MCO and the medical providers.
- 4. All medical providers in the Offeror's PPO network should be specialists in treatment and evaluation of occupational injuries/illnesses. Such networks shall include, but not be limited to, orthopedic specialists (hand, back, shoulder, etc.), neurosurgeons, physical/occupational therapists, and physicians proficient in providing Independent Medical Evaluations (IME) and MMI. The Offeror and MHTC shall cooperate with each other in instructing medical care providers to call RB for authorization of services, provide follow-up appointments, and referrals.
- 5. The Offeror must provide an automated means of interfacing with RB. The Offeror's interface must include, at a minimum, the ability to identify the injured employee's name, social security number, date and nature of injury, and authorization of service for referral by provider, by district or troop.
- 6. Medical Director: A board certified occupational health physician shall provide overall oversight to the program to include providing treatment protocols, determining individual medical treatment appropriateness, and network medical provider compliance.
- 7. Medical providers identified to provide treatment for serious injuries, i.e. trauma centers, should be able to provide drug and alcohol testing in accordance with Department of Health and Human Services (DHHS) guidelines for U.S. Department of Transportation (DOT) required testing.
- (B) Utilization Management: Offeror shall provide a utilization management program to

### include the following:

- 1. Offeror shall receive itemized billing from participating medical care providers for authorized services rendered to covered employees of the Missouri Department of Transportation, executive director and the employees of the Missouri Department of Transportation and Highway Patrol Employees' Retirement System and of the Missouri State Highway Patrol.
- 2. Offeror shall perform invoice re-pricing to apply discounts and conduct utilization review of medical services provided in compliance with the agreements in place with participating medical care providers and MHTC. Utilization review shall include billing reviews and a review of the reasonableness of charges and medical procedures associated with diagnosis. Such review shall also consider unbundling and other creative billing procedures. Re-pricing should be done electronically and submitted to RB.
- 3. Offeror must ensure through contractual means or otherwise that participating providers in the proposed PPO network do not arbitrarily inflate their billings under the PPO agreement above the provider's prevailing charges for similar services to non-PPO patients or above what is deemed usual, customary, and reasonable for similar providers and services in the providers geographic area. Provisions for special medical bills audits shall be made available to RB.
- 4. Offeror shall attach a repricing document to each original invoice and forward to RB the original documents with one duplicate set within the standards of 96% of clean claims being processed in four (4) working days and of 98% of all claims being processed in seven (7) working days.
- 5. Offeror shall assist RB in obtaining necessary medical documentation from PPO providers and shall submit all documentation received to RB within three (3) working days.
- 6. Offeror shall also provide RB with a report providing relevant information from submitted invoices as determined by RB, at no additional charge. Offeror shall fully cooperate with MHTC's health insurance plan for reimbursement of erroneous and payments of workers' compensation claims.
- 7. Offeror must continue to perform a good faith effort in PPO negotiations with hospitals and physicians in those districts and troops as specified in Attachments B & C, for which PPO network is not yet complete.
- 8. Offeror should submit quarterly progress reports to RB documenting the actions, which have taken place. Such progress reports must itemize the actions by district or troop.
- 9. Offeror must negotiate rates with hospitals and physicians and may also negotiate

with surgical centers for hospital outpatient fee schedules.

- (C) Disability Management: The offeror shall provide a disability management program which shall manage the costs of disability through aggressive coordination of medical care, focusing on appropriate return to work and productivity. The offeror shall provide to MHTCMHTC a disability management program to include, but not be limited to:
  - 1. Early return to work by emphasizing the positive capabilities of employees through temporary modified duty.
  - 2. Aggressive rehabilitative therapy programs as outlined in established treatment protocols.
  - 3. The disability management program shall be coordinated with all other disability programs provided by Highways and Transportation Employees' and Highway Patrol Retirement System under section 104.110 RSMo. Supplement 1999, as amended.

MHTC's temporary modified duty policy is included in this RFP as Attachment A.

- (D) Treatment Protocols: The Offeror shall provide clinical guidelines developed by medical specialists that help direct the type and amount of treatment rendered. Offeror shall provide and coordinate with MHTC according to industry standards, implementation of medical treatment protocols for the most common injuries suffered as work-related injuries:
  - Back Injuries.
  - Shoulder Injuries
  - Hand/Wrist Injuries/Syndromes
  - Knee Injuries
  - Eye Injuries
  - Loss of Hearing
  - 1. The Offeror shall ensure compliance of all medical providers in the PPO with established treatment protocols.
  - 2. Both the Offeror and MHTC shall review treatment protocols periodically for effectiveness and accuracy and compare to industry standards.

### (E) Case Management:

- 1. The offeror shall provide an effective case management program that ensures injured employees receive appropriate medical care, and are returned to productive work and normal lifestyle as quickly as possible.
- 2. From the hours of 7:30 a.m. to 4:00 p.m., Monday through Friday, the offeror shall have a trained registered nurse(s) and /or physician(s) available to handle difficult

cases.

- 3. Offeror shall provide staff or may subcontract for services for medical management of claims as deemed necessary by MHTC. Case managers shall have extensive experience as occupational rehabilitation nurses.
- 4. Pre-certification of all hospital inpatient admissions shall be made through Offerors case managers. All other procedures and treatments shall be authorized by the assigned claims specialist at MHTC's Office of Risk & Benefits Management.

### (F) Administrative Services:

- 1. Offeror must provide RB or its designee with all data and information necessary for analysis of the effectiveness of the PPO networks.
- 2. Offeror must provide RB with all PPO related data necessary to prepare monthly reports that compare outcomes of PPO provider versus non-PPO providers and which compare both cost and utilization statistics. In addition, Offeror must provide to RB any other data or information available and necessary which documents successful PPO network services.
- 3. Offeror shall regularly meet with MHTC staff, state officials and /or outside consultants as deemed necessary by RB. No additional fees or expenses shall be paid to the contractor for such participation.
- 4. Uniform Usage of Forms: Offeror shall use commercially reasonable efforts to inform and instruct PPO providers to use forms developed by Offeror and Risk & Benefits Management.
- (3) Administration of Program: The Offeror will consult MHTC's representative regarding any problems involved with the administration of the services provided pursuant to this RFP.

### SECTION (3): AGREEMENT REQUIREMENTS

This RFP shall be governed by the following contract provisions. The award of this RFP is subject to a post-award contract. These same contract provisions will appear in the post-award contract. If the parties are unable to agree to terms in the post-award contract, MHTC shall reserve the right to cancel the award of the RFP and contract and select a different offeror.

- (A) MHTC's Representative: MHTC's Risk & Benefits Manager is designated as MHTC's representative for the purpose of administering the provisions of the Agreement as defined in Paragraph (E) of this section. MHTC's representative may designate by written notice other persons having the authority to act on behalf of MHTC in furtherance of the performance of the Agreement. The Offeror shall fully coordinate its activities for MHTC with those of the Risk & Benefits Management. As the work of the Offeror progresses, advice and information on matters covered by the Agreement shall be made available by the Offeror to the Risk & Benefits Manager throughout the effective period of the Agreement.
- (B) Release to Public: No material or reports prepared by the Offeror shall be released to the public without the prior consent of MHTC's representative.
- (C) Assignment: The Offeror shall not assign or delegate any interest, and shall not transfer any interest in the services to be provided (whether by assignment, delegation, or novation) without the prior written consent of MHTC's representative.
- (D) Status as Independent Contractor: The Offeror represents itself to be an independent contractor offering such services to the general public and shall not represent itself or its employees to be an employee of MHTC or MoDOT. Therefore, the Offeror shall assume all legal and financial responsibility for taxes, FICA, employee fringe benefits, workers' compensation, employee insurance, minimum wage requirements, overtime, or other such benefits or obligations.
- (E) Components of Agreement: The Agreement between MHTC and the Offeror shall consist of: the RFP and any written amendments thereto, the proposal submitted by the Offeror in response to the RFP and the post-award contract agreement signed between the parties. However, MHTC reserves the right to clarify any relationship in writing and such written clarification shall govern in case of conflict with the applicable requirements stated in the RFP or the Offeror's proposal. The Offeror is cautioned that its proposal shall be subject to acceptance by MHTC without further clarification.

**(F)** Amendments: Any change in the Agreement, whether by modification or supplementation, must be accompanied by a formal contract amendment signed and approved by the duly authorized representative of the Offeror and MHTC.

### (G) DBE/WBE Participation Encouraged:

- 1. Bidders are encouraged to submit copies of existing affirmative action programs, if any. Bidders are also encouraged to directly hire minorities and women as direct employees of the bidder. MHTC reserves the right to consider the use of minority and female employee when making the award of the Agreement.
- 2. Regardless of which persons or firms, if any, that the Offeror may use as subcontractors, subofferors, or suppliers of goods or services for the services to be provided, the Offeror ultimately remains responsible and liable to MHTC for the complete, accurate and professional quality/performance of these services.
- (H) Nondiscrimination: The Offeror shall comply with all state and federal statutes applicable to the Offeror relating to nondiscrimination, including, but not limited to, Chapter 213, RSMo; Title VI and Title VII of Civil Rights Act of 1964 as amended (42 U.S.C. Sections 2000d and 2000e, et seq.); and with any provision of the "Americans with Disabilities Act" (42 U.S.C. Section 12101, et seq).
- (I) Executive Order: The Offeror shall comply with all the provisions of Executive Order 07-13, issued by the Honorable Matt Blunt, Governor of Missouri, on the sixth (6<sup>th</sup>) day of March, 2007. This Executive Order, which promulgates the State of Missouri's position to not tolerate persons who contract with the state engaging in or supporting illegal activities of employing individuals who are not eligible to work in the United States, is incorporated herein by reference and made a part of this Agreement.
  - 1. By signing this Agreement, the Offeror hereby certifies that any employee of the Offeror assigned to perform services under the contract is eligible and authorized to work in the United States in compliance with federal law.
  - 2. In the event the Offeror fails to comply with the provisions of the Executive Order 07-13, or in the event the Commission has reasonable cause to believe that the Offeror has knowingly employed individuals who are not eligible to work in the United States in violation of federal law, the Commission reserves the right to impose such contract sanctions as it may determine to be appropriate, including but not limited to contract cancellation, termination or suspension in whole or in part or both.
- (J) Incorporation of Provisions: The Offeror shall include the provisions of Section (3), paragraph I of this Agreement in every subcontract. The Offeror shall take such action

- with respect to any subcontract as the Commission may direct as a means of enforcing such provisions, including sanctions for noncompliance.
- **(K)** Prohibition of Employment Of Unauthorized Aliens: The Offeror must affirm its enrollment and participation in a federal work authorization program with respect to the employees proposed to work in connection with the services requested herein by:
  - submitting a completed, notarized copy of <u>EXHIBIT F</u>, AFFIDAVIT OF WORK AUTHORIZATION and
  - providing documentation affirming the Offeror's enrollment and participation in a federal work authorization program (see below) with respect to the employees proposed to work in connection with the services requested herein.
    - E-Verify is an example of a federal work authorization program. Acceptable enrollment and participation documentation consists of **completed** copy of the E-Verify Memorandum of Understanding (MOU). For vendors that are not already enrolled and participating in a federal work authorization program, E-Verify is available at <a href="http://www.dhs.gov/xprevprot/programs/gc\_1185221678150.shtm">http://www.dhs.gov/xprevprot/programs/gc\_1185221678150.shtm</a>.
- **(L) Bankruptcy:** Upon filing for any bankruptcy or insolvency proceeding by or against the Offeror, whether voluntarily, or upon the appointment of a receiver, Offeror, or assignee, for the benefit of creditors, MHTC reserves the right and sole discretion to either cancel the Agreement or affirm the Agreement and hold the Offeror responsible for damages.
- (M) Law of Missouri to Govern: The Agreement shall be construed according to the laws of the state of Missouri. The Offeror shall comply with all local, state and federal laws and regulations relating to the performance of the Agreement.
- (N) Cancellation: MHTC may cancel the Agreement at any time by providing the Offeror with written notice of cancellation. Should MHTC exercise its right to cancel the Agreement for such reasons, cancellation will become effective upon the date specified in the notice of cancellation sent to the Offeror.
- (O) Venue: No action may be brought by either party concerning any matter, thing or dispute arising out of or relating to the terms, performance, nonperformance or otherwise of the Agreement except in the Circuit Court of Cole County, Missouri. The parties agree that the Agreement is entered into at Jefferson City, Missouri, and substantial elements of its performance will take place at or be delivered to Jefferson City, Missouri, by reason of which the Offeror consents to venue of any action against it in Cole County, Missouri.

- (P) Ownership of Reports: All documents, reports, exhibits, etc. produced by the Offeror at the direction of MHTC's representative and information supplied by MHTC's representative shall remain the property of MHTC.
- (Q) Confidentiality: The Offeror shall not disclose to third parties confidential factual matters provided by MHTC's representative except as may be required by statute, ordinance, or order of court, or as authorized by MHTC's representative. The Offeror shall notify MHTC immediately of any request for such information.
- (R) Nonsolicitation: The Offeror warrants that it has not employed or retained any company or person, other than a bona fide employee working for the Offeror, to solicit or secure the Agreement, and that it has not paid or agreed to pay any percentage, brokerage fee, gift, or any other consideration, contingent upon or resulting from the award or making of the Agreement. For breach or violation of this warranty, MHTC shall have the right to annul the Agreement without liability, or in its discretion, to deduct from the Agreement price or consideration, or otherwise recover the full amount of such fee, commission, percentage, brokerage fee, gift or contingent fee.
- (S) Conflict of Interest: The Offeror covenants that it presently has no actual conflict of interest or appearance of conflict of interest and shall not acquire any interest, directly or indirectly, which would conflict in any manner or degree with the performance of the services under this Agreement. The Offeror further covenants that no person having any such known interest shall be employed or conveyed an interest, directly or indirectly, in this Agreement.
- (T) Maintain Papers: The Offeror must maintain all working papers and records relating to the Agreement. These records must be made available at all reasonable times at no charge to MHTC and/or the Missouri State Auditor during the term of the Agreement and any extension thereof, and for three (3) years from the date of final payment made under the Agreement.
  - 1. MHTC's representative shall have the right to reproduce and/or use any products derived from the Offeror's work without payment of any royalties, fees, etc.
  - 2. MHTC's representative shall at all times have the right to audit any and all records pertaining to the services.
- (U) Indemnification: The Offeror shall defend, indemnify and hold harmless the Commission, including its members and department employees, from any claim or liability whether based on a claim for damages to real or personal property or to a person for any matter relating to or arising out of the Offeror's performance of its obligations under this Agreement.

### (V) Insurance:

(1) Prior to contract signing, the Offeror may be asked about its ability to provide certificates of insurance which meet, or approach, the following coverages:

a. General Liability Not less than \$500,000 for any one person in

a single accident or occurrence, and not less than \$3,000,000 for all claims arising out of

a single occurrence;

b. Automobile Liability Not less than \$500,000 for any one person in

a single accident or occurrence, and not less than \$3,000,000 for all claims arising out of

a single occurrence;

c. Missouri State Workmen's Compensation policy or equivalent in accordance with state law.

### SECTION (4): PROPOSAL SUBMISSION INFORMATION

### (A) SUBMISSION OF PROPOSALS

- 1. Pricing and Signature: Proposals should be priced, signed and returned (with necessary attachments) to Leann Kottwitz, as provided in this RFP. Specifically, any form containing a signature line in this RFP and any amendments, pricing pages, etc., must be manually signed and returned as part of the proposal.
- 2. Submission of All Data Required: The Offeror must respond to this RFP by submitting all data required in paragraph (B) below for its proposal to be evaluated and considered for award. Failure to submit such data shall be deemed sufficient cause for disqualification of a proposal from further consideration.
- 3. Public Inspection: The Offeror is hereby advised that all proposals and the information contained in or related thereto shall be open to public inspection and that MHTC does not guarantee nor assume any responsibility whatsoever in the event that such information is used or copied by individual person(s) or organization. Therefore, the Offeror must submit its proposal based on such conditions without reservations.

### (B) EVALUATION CRITERIA AND PROCESS

1. Evaluation Factors: Any agreement for services resulting from this RFP shall be awarded to the Offeror providing the best proposal to MHTC. After determining responsiveness, proposals will be evaluated in accordance with the following criteria:

( <b>A</b> )	Cost, Fees & Expenses	30 pts. Maximum
<b>(B)</b>	Proposed Method of Performance	30 pts. Maximum
	Including Expertise of PPO Physicians	
(C)	Experience, Expertise & Reliability	25 pts. Maximum
	Of Personnel and Organization	-
<b>(D)</b>	Cost Effectiveness	15 pts. maximum

### (C) REQUIRED ELEMENTS OF PROPOSAL

- 1. Cost, Fees & Expenses The objective evaluation of cost shall be conducted based upon the original contract period for low cost determination.
  - (a) Cost points shall be determined using a scale of thirty (30) possible points and the following formula:

<u>Lowest Responsive Price</u> X 30 = Cost score points Compared Price

- 2. Proposed Method of Performance Any Offeror submitting a proposal shall provide a detailed description how the offeror will meet the requirements identified in the scope of work of this request for proposal. The offeror shall also submit any and all additional information concerning the quality of the services <u>included with the proposed method of performance.</u> The offer will include the expertise of PPO Physicians.
- 3. Experience, Expertise and Reliability Experience and reliability of the Offeror's organization is considered in the evaluation process. Therefore, the Offeror is advised to submit any information which documents successful and reliable experience in past performances, especially those performances related to previous Managed Care and PPO Network for Workers Compensation. The Offeror shall identify the principal person responsible for this engagement. The Offeror shall also identify all other individuals who will be involved in this project. The qualifications of all personnel shall be included.
  - (a) The Offeror should provide the following information related to previous and current contracts which are considered identical or similar to the requirements of this RFP.
    - 1. Name, address and telephone number of contracting agency and a contact person who may be contacted for verification of all data submitted.
    - 2. Dates of the Contract.
    - 3. A brief, written description of the specific prior services performed and requirements thereof.
  - (b) The qualifications (expertise) of the personnel proposed by the Offeror to perform the requirements of the RFP will be considered in the evaluation.

Therefore, the Offeror should submit detailed information related to the expertise and qualification of the staff proposed.

- (c) The Offeror should provide a resume including references detailing educational qualifications and previous work assignments as they may relate to this RFP for key personnel to be assigned to these services.
- (d) If the staff is not yet hired, the Offeror should provide:
  - 1. detailed descriptions of the required employment qualifications, and
  - 2. detailed job descriptions of the positions to be filled, including the type of individuals proposed to be hired.
- **4. Cost Effectiveness.** Average and minimum discount from standard fees for services provided in the Offerors PPO must be included here and will be used to score proposals.
- **Recommendations from References -** Proposals should indicate the name, title and telephone number of at least three clients within the past three years. Reference checks will be conducted and scored.
- 6. **Historic Information:** MHTC reserves the right to consider historic information and facts, whether gained from the Offeror's proposal, question and answer conferences, references, or other sources, in the evaluation process.
- 7. Responsibility to Submit Information: The Offeror is cautioned that it is the Offeror's sole responsibility to submit information related to the evaluation categories and that MHTC's representative is under no obligation to solicit such information if it is not included with the Offeror's proposal. Failure of the Offeror to submit such information may cause an adverse impact on the evaluation of the Offeror's proposal.

### (D) PRICING

1. **Fee Schedule:** The Offeror must submit a proposed fee for all services defined in the Scope of Work. This fee must be shown on Section (5), Price Page, of this proposal which must be completed, signed and returned with the Offeror's proposal.

# **SECTION (5): PRICE PAGE**

(A) **FEE SCHEDULE:** The Offeror shall indicate below all fees for providing services in accordance with the provisions and requirements stated in this request for proposal. The Offeror shall agree and understand that the MHTC has the right to make multiple awards as a result of this RFP.

### **MONTHLY PRICES**

### ADMINISTRATION FEE FOR PPO NETWORKS AND RELATED SERVICES.

nature	
ricing Page continue	ed on the next page)
\$related services.	firm, fixed price per month to provide and administer PPO networks a
Second Renewal	<u>Period</u>
\$related services.	firm, fixed price per month to provide and administer PPO networks a
First Renewal Pe	<u>riod</u>
\$ related services.	firm, fixed price per month to provide and administer PPO networks a
_	

# PRICE PAGE (continued)

The Offeror shall indicate below all fees for providing services in accordance with the provisions and requirements stated in this request for proposal. The Offeror shall agree and understand that the MHTC has the right to make multiple awards as a result of this RFP.

### **PER HOUR PRICES**

**Initial Contract Period** 

### CASE MANAGEMENT AND RELATED SERVICES

\$ managemen	firm, fixed prices per hour to include, but not be limited to, cas t, medical bill auditing, and other consulting services.
First Renev	val Period
\$ managemen	firm, fixed prices per hour to include, but not be limited to, cast, medical bill auditing, and other consulting services.
Second Rer	newal Period
	<del></del>
•	
managemen	firm, fixed prices per hour to include, but not be limited to, cast, medical bill auditing, and other consulting services.
C	t, medical bill auditing, and other consulting services.
C	

Date

Signature

### (B) Cost Effectiveness Worksheet

The Offeror shall state the average and minim provided in the Offerors PPO	um discount from standard fees for services
AVERAGE discount from standard fees Hospitals/medical care facilities	=%
MINIMUM discount from standard fees Hospitals/medical care facilities	=%
AVERAGE discount from standard fees Physicians/medical care specialists	=%
MINIMUM discount from standard fees Physicians/medical care specialists	=%
If incorporated, name in which state:	
Signature	Date

### Policy 0509

### From Human Resources

Jump to: <u>navigation</u>, <u>search</u>

Policy 0509

### PERSONNEL POLICY MANUAL

MoDOT Personnel Policy Title: Temporary Modified Duty

Assignments

Policy Number: 0509 Chapter Title: Employment

Effective Date: July 1, 2007

Supersedes Policy Number 0509 Dated July 1, 2005

Approved By: Micki Knudsen, Human Resources Director

(Signature on file)

Contents [show]

### POLICY STATEMENT

The department will assist employees who have a temporary work-related or non-work-related injury, illness, or condition by authorizing temporary modified duty assignments, when possible. The nature, location, and length (not to exceed six months) of temporary modified duty assignments will be determined by the appropriate district engineer or

division leader/state engineer, based upon each employee's individual circumstances and the needs of the department.

### **DEFINITION**

Temporary Modified Duty Assignment: A full-time or part-time work assignment that meets an employee's temporary health restriction during his/her period of recuperation from a work-related or non-work-related injury, illness, or condition.

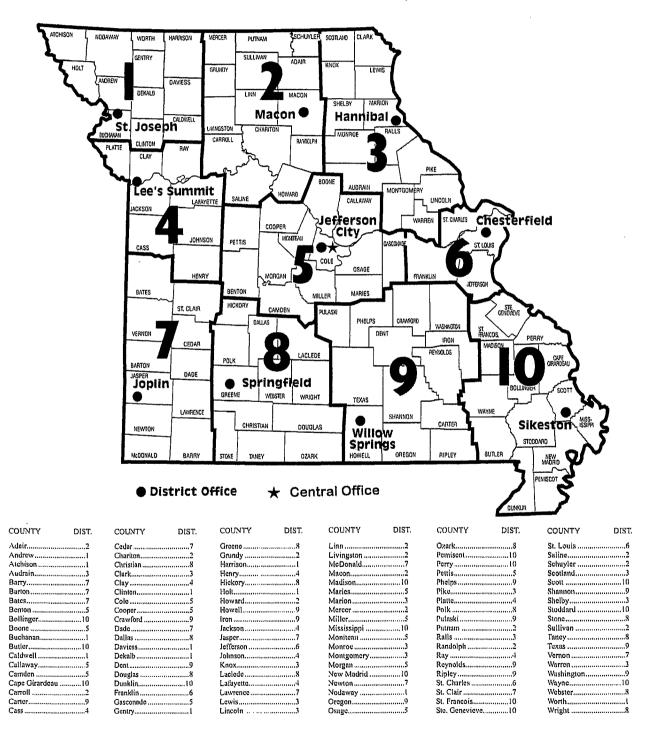
### PROVISIONS / REQUIREMENTS

- 1. Temporary modified duty assignments will be allowed only when there is productive work to be accomplished. Temporary modified duty assignments will be provided for employees and may not exceed six months. It is not the intent of this policy to require an employee to commute an unusual distance or to change his or her place of residence to work in a temporary modified duty assignment.
- 2. While on temporary modified duty assignments, employees will be paid their regular salary, if working full-time, or the wage equivalent of their regular salary, if working part-time.
- 3. The Missouri Department of Transportation and Highway Patrol Employees' Retirement System sets a 180-day waiting period extending from the date of disability to the effective date of long-term disability payments. During this waiting period, if an employee is capable of returning to work on full workday schedules, his/her waiting period might be impacted. If an employee returns to work before the 181st day and works for 30 or more full workdays, he/she must begin a new waiting period and thereby defer the effective date of long-term disability benefits. Partial work days do not count toward this 30-day period. Also the 30-day period is not limited to consecutive workdays. The supervisor, the employee, and the treating physician should pay close attention to the employee's condition while the employee is in a temporary modified duty assignment. Any employee who feels his or her injury, illness, or condition is not temporary (as certified by the treating physician) and wishes to file a claim for long-term disability benefits should not be authorized to begin or continue a temporary modified duty assignment.
- 4. Personnel Policy 0507, "Workers' Compensation," states, "Workers' compensation lost time benefits cease the day the employee is released to return to work." If an employee is released to part-time work in a temporary modified duty assignment, the employee must complete Form A-450, "Workers' Compensation Disability Preference," indicating whether he or she wishes to supplement workers' compensation lost time benefits with sick leave benefits.
- 5. If an employee refuses to accept a temporary modified duty assignment because of reasons other than written advice from the treating physician, the employee may be dismissed from employment unless mitigating circumstances require other action.

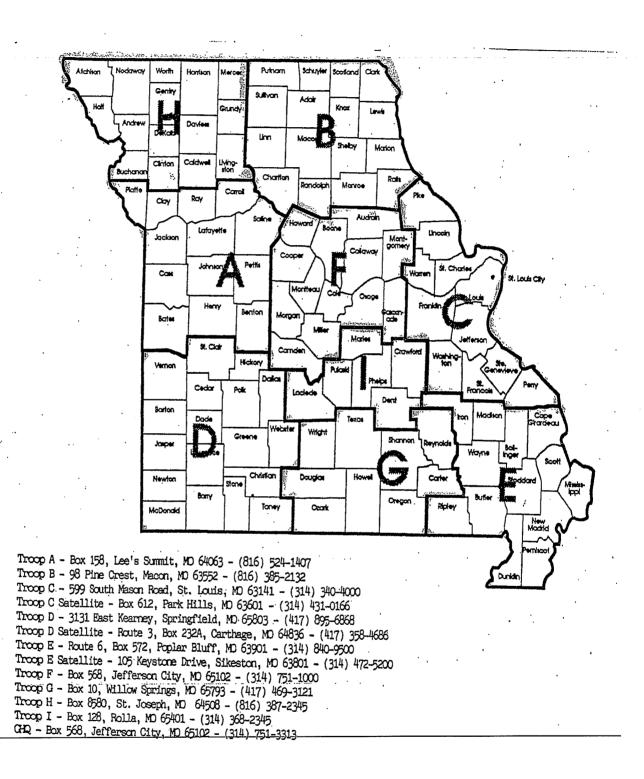
6. If the district engineer or division leader/state engineer believes any employee's physical or mental limitation and/or medical restriction would prohibit a safe work environment for the employee, his or her coworkers, or the general public, the temporary modified duty assignment should not be authorized or should be withdrawn. Refer also to Personnel Policy 0602, "Fit for Duty Review Program."

### Retain this insert for your information

# Missouri Department of Transportation District Outline Map



### TROOP AREA MAP



# (MAY INDICATE HIGH/LOW OR AVERAGE PER REGION)

					1-26-200			
Service Description	St. Joseph	Kirksville	Hannibal	Kansas City	City/ Columbia	St. Louis	Springfield	Cape Girardeau
Physician Office Visit - Ortho						e de la companya de l		
Physician Office Visit - Neuro								
Hospital E.R.								
In-Patient Hospital		Magazina da Maria						
Out-Patient Hospital			- ,		9			***************************************
Occupational Med. Clinic Visit					0			
Physical Therapy		n waan kansoo						
ME					And the state of t	Andrews and the second of the		The state of the s
Pharmacy				AND THE REAL PROPERTY OF COMMAND AND COMMAND THE REAL PROPERTY AND THE PROPERTY			A CONTRACTOR OF THE PROPERTY O	THE STATE OF THE S
Radiology								
Lab Testing								
Hosp. Daily per Diem			<b>WAP1-10111</b>			,	arer road	
						,		

**Authorized Signature** 

Date

The above stated discounts are provided in accordance with the terms and conditions of this RFP.

<b>OF</b> ] **Note to Physician: Complete th	MISSOURI DEF F-WORK/RETURN his form and return to patient at e	TO.	WOJ	RK N	<b>ÆD</b>	ICAL C			ATIO	N		
NAME:	<u> </u>											
DIAGNOSIS:	<u> </u>		-		D	ATE OF I	NJURY	7:				
* * * NOTE TO	EMPLOYEE: RETURN	THI	S FO	RM J	OY(	OUR SUP	ERVIS	OR II	MMED	IATE	LY *	* *
	C. MED. IMPROVEMENT REIRY MODIFIED DUTY	URN AAY	TO BE A	WOR VAII	ABI	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	CERT	AIN R		ICTIC	)NS*	□ NO
☐ RETURN TO WORK WITHOUT ☐ TODAY ☐ TOMORROW (IN- SUNDAYS, HOLI ☐ DATE CAN RETURN:	CLUDES SATURDAYS,		HOT [	URS/DA DAY	Y: TOI	K WITH RES  MORROW (IN	CLUDES					AYS)
PATIENT HAS THE FOLLOW	VING LIFTING RESTRICTIO	NS:										
FLOOR TO WAIST _	lbs		5	SHOUL	DER T	O OVERHE	EAD _		_lbs			
WAIST TO SHOULDER _	lbs		(	CARRY	<i>T</i>		_		_lbs			
PATIENT CAN IN A DAY:		(HOU	JRS AT	ONE	ΓΙΜΕ)			T)	OTAL F	OURS :	DURING	G DAY)
		0-2	2-4	4-6	6-8	8-10	0-2	2-4	4-6	6-8	8-10	OVERTIME
STAND/WALK	☐ NO RESTRICTIONS											
SIT	☐ NO RESTRICTIONS											
DATA ENTRY/TYPING	☐ NO RESTRICTIONS											
SIMPLE GRASPING	☐ NO RESTRICTIONS											
TWIST	☐ NO RESTRICTIONS											
BEND	☐ NO RESTRICTIONS									, 		
SQUAT	☐ NO RESTRICTIONS											
KNEEL	☐ NO RESTRICTIONS											
CLIMB	☐ NO RESTRICTIONS											
REACH	☐ NO RESTRICTIONS											
JACK HAMMER	☐ NO RESTRICTIONS											
PUSHING & PULLING LBS.	☐ NO RESTRICTIONS											
OPERATE FOOT CONTROLS	☐ NO RESTRICTIONS											
DRIVE CAR/TRUCK  AUTOMATIC	☐ NO RESTRICTIONS ☐ STANDARD/MANUAL											. 🗆
OTHER PHYSICAL RESTRIC	CTIONS AND/OR INSTRUCT	IONS:					· · · · · · · · · · · · · · · · · · ·					
LIST ANY RESTRICTIONS A	AND/OR INSTRUCTIONS REI	LATEI	TO A	NY PI	RESCF	RIPTION M	EDICAT	IONS:				
TIME EXAMINATION COM	PLETED								_			
PHYSICIAN'S SIGNATURE:										DATE:		

## WORKER ELIGIBILITY VERIFICATION AFFIDAVIT FOR ALL MHTC/MODOT CONTRACT AGREEMENTS IN EXCESS OF \$5,000

(for joint ventures, a separate affidavit is required for each business entity)

STATE OF						
STATE OF	) ss )					
On this	day of				me	appeared
	, person	lly known to me	or proved to me on	the basis of satis	sfactory o	evidence to
be a person whose nar	ne is subscribed to this affic	avit, who being by	y me duly sworn, d	eposed as follows	i <b>:</b>	
My name is		, an	d I am of sound m	ind, capable of m	aking thi	is affidavit,
and personally certify	the facts herein stated, as	equired by Section	n 285.530, RSMo,	to enter into any	contract	agreement
with the state to perfo	orm any job, task, employme	nt, labor, personal	l services, or any o	ther activity for v	which cor	mpensation
is provided, expected,	or due, including but not li	nited to all activiti	es conducted by bu	siness entities:		
I am the	title of		, and I	am duly authoriz	æd, direc	ted, and/or
empowered to act offi	cially and properly on beha	f of this business of	entity.			
I hereby affi	rm and warrant that the af	rementioned busi	iness entity is enro	olled in a federal	work au	ıthorization
program operated by	the United States Departm	ent of Homeland	Security, and the	aforementioned b	ousiness	entity shall
participate in said pro	gram to verify information	employment eligi	bility) of newly hir	ed employees wo	rking in	connection
to work under the wit	hin state contract agreement	with the Missouri	Highways and Tra	ensportation Com	mission (	(MHTC). I
have attached docume	entation to this affidavit to e	vidence enrollmer	nt/participation by t	the aforementions	ed busine	ss entity in
a federal work authori	zation program, as required	by Section 285.53	0, RSMo.			
In addition, l	hereby affirm and warrant	that the aforement	tioned business ent	ity does not and	shall not	knowingly
employ, in connection	n to work under the within	state contract agr	eement with MHT	C, any alien who	does no	ot have the
legal right or authoriz	ation under federal law to w	ork in the United S	States, as defined in	18 U.S.C. § 1324	a(h)(3).	•
I am aware	and recognize that, unless	ertain contract an	d affidavit conditi	ons are satisfied	pursuant	to Section
285.530, RSMo, the a	forementioned business ent	ty may be held lia	ible under Sections	285.525 though	285.550,	RSMo, for
subcontractors that kn	owingly employ or continue	to employ any un	authorized alien to	work within the	state of N	∕lissouri.
I acknowledg	ge that I am signing this aff	davit as a free act	and deed of the afe	orementioned bus	iness en	tity and not
under duress.						
		Affia	int Signature		-	
0.1	1 4. 16 41	4 o.f	2	0		
Subscribed a	nd swom to before me this	day of	, 2	·		
		Nota	ry Public	<u>.</u>		
My commiss	ion expires:					

[documentation of enrollment/participation in a federal work authorization program attached]